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PTO/SB/01 (8-96)

Approved for use through 9/30/98. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR  
UTILITY OR DESIGN  
PATENT APPLICATION**☒ Declaration OR  
Submitted Initial Filing ☐ Declaration  
Submitted after  
Initial Filing

Attorney Docket Number

First Named Inventor

HENNING VON SPRECKEISEN

**COMPLETE IF KNOWN**

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CAP CLOSURE

(Title of the invention)

the specification of which

☒ is attached hereto  
OR☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35 United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/GB99/01094 9811308.7	GREAT BRITAIN GREAT BRITAIN	11/13/1998 05/26/1998	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input checked="" type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

(Page 1 of 5)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Commissioner of Patents and Trademarks, Washington, DC 20231.

(January 1997)

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## DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Hancock, Earl C.	19,472		
Sirr, Francis A.	17,265		
Kelly, Robert	33,922		
Kinnear, Brian	43,717		

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

Name	Holland & Hart LLP		
Address	555 Seventeenth Street		
Address	P.O. Box 8749		
City	Denver	State	CO
ZIP	80201-8749		
Country	USA	Telephone	303-473-2708
Fax	303-473-2720		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name	HENNING	Middle Initial		Family Name	VON SPRECKEISEN	Suffix e.g. Jr.	
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Inventor's Signature	Henning von Spreckelsen	Date	13 November 2000
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Residence: City	SURREY	State		Country	ENGLAND	Citizenship	GERMAN
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Post Office Address	LITTLE GAWTON, HORSELL VALE, WOKING
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Post Office Address	
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City	SURREY	State		Zip	GU21 4QU	Country	ENGLAND
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☒ Additional inventors are being named on supplemental sheet(s) attached hereto

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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventorGiven  
Name

PETER

Middle  
Initial

M.

Family  
Name

MCGEOUGH

Suffix  
e.g. Jr.Inventor's  
Signature

Date

15 November  
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State

Country

ENGLAND

Citizenship

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Zip

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Country

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Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventorGiven  
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e.g. Jr.Inventor's  
Signature

Date

Residence:  
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State

Country

Citizenship

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Name of Additional Joint Inventor, if any:

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NameMiddle  
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NameSuffix  
e.g. Jr.Inventor's  
Signature

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NameMiddle  
InitialFamily  
NameSuffix  
e.g. Jr.Inventor's  
Signature

Date

Residence:  
City

State

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☐ Additional inventors are being named on supplemental sheet(s) attached hereto

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DECLARATION			PRIORITY DATA (Supplemental Sheet)		
Additional foreign applications:					
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Additional provisional applications:					
Application Number		Filing Date (MM/DD/YYYY)			
Additional U.S. applications:					
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)		

# Introduction

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Name	Registration Number	Name	Registration Number

0 9 2 1 0 5 1 1